

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Vinginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/680 584	10/06/2003	3753	1279	COOL-01301	26	105	6

CONFIRMATION NO. 5276

28960 HAVERSTOCK & OWENS LLP 162 NORTH WOLFE ROAD SUNNYVALE, CA 94086 FILING RECEIPT

OC000000011595050

Date Mailed: 12/31/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas W. Kenny, San Carlos, CA; Mark Munch, Los Altos, CA;

PENG ZHOU, ALBANY, CA;

Assignment For Published Patent Application

Cooligy, Inc.;

JAMES GILL SHOOK, SONTA CRUZ, CA; GIRISH UPADHYA, SAN JOSE, CA; KENNETH GOODSON, BELMONT, CA; DAVE CORBIN, LOS ALTOS, CA; MARK MCMASTER, PALD ALTO, CA; JAMES LOVETTE, SAN FRANCISCO, CA

Domestic Priority data as claimed by applicant

This application is a CON of 10/439,635 05/16/2003 which claims benefit of 60/423,009 11/01/2002 and claims benefit of 60/442,383 01/24/2003 and claims benefit of 60/455,729 03/17/2003

Foreign Applications

If Required, Foreign Filing License Granted: 12/30/2003

Projected Publication Date: 05/06/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

COPY



Title

Method and apparatus for efficient vertical fluid delivery for cooling a heat producing device

Preliminary Class

165

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

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Attorney Docket No.: COOL-01301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3753) Examiner:
Thomas W. Kenny et al)
Serial No.: 10/680,584) TRANSMITTAL LETTER)
) 162 North Wolfe Road
Filed: October 6, 2003) Sunnyvale, California 94086) (408) 530-9700
For: METHOD AND APPARATUS FOR) `´
EFFICIENT VERTICAL FLUID) Customer Number 28960
DELIVERY FOR COOLING A HEAT	")
PRODUCING DEVICE)
)
MS: Office of Initial Patent Examination's	
Filing Receipt Corrections	
Commissioner for Patents	
P.O. Box 1450	
Alexandria, VA 22313-1450	

Sir:

Enclosed please find a request for correction of information on the official filing receipt for filing with the U.S. Patent and Trademark Office. Also attached are copies of the official filing receipt, and the combined declaration and power of attorney for reference purpose only.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 1 12 0)

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred t being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 1-13-04 By:

Thomas B. Haverstock Reg. No.: 32,571 Attorneys for Applicants

- 1 -



Attorney Docket No.: <u>COOL-01301</u>

IN TH	E UNITED	STATES	PATENT	AND TI	RADEMARK	OFFICE
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Group Art Unit: 3753

FILING RECEIPT

(408) 530-9700

162 North Wolfe Road

Sunnyvale, California 94086

REQUEST FOR CORRECTION OF INFORMATION ON THE OFFICIAL

Examiner:

In re Application of:	7112
Thomas W. Kenny et al	}
Serial No.: 10/680,584	
Filed: October 6, 2003	<

For: METHOD AND APPARATUS FOR

EFFICIENT VERTICAL FLUID DELIVERY FOR COOLING A HEAT PRODUCING DEVICE

IEAT PRODUCING DEVICE) Customer Number 28960

MS: Office of Initial Patent Examination's

Filing Receipt Corrections Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The attached filing receipt contains errors. The filing receipt lists two out of the nine inventors. The complete listing of inventors is Thomas W. Kenny, Mark Munch, Peng Zhou, James Gill Shook, Girish Upadhya, Kenneth Goodson, Dave Corbin, Mark McMaster and James Lovette. The applicants respectfully request that this correction be made in the file and on the official filing receipt.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 1-12-04

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

1-14-04_

Express Mail Lab

EV349858248US

PATENT Attorney Docket No.: COOL-01301

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD AND APPARATUS FOR EFFICIENT VERTICAL FLUID DELIVERY FOR COOLING A HEAT PRODUCING DEVICE. The specification of which is attached hereto. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)					-	Priority	
					ſ	Yes	No
Number	Country		Day/Month/	Year Filed	<u> </u>	1	
I hereby claim the benefit under Title 35, U matter of each of the claims of this application paragraph of Title 35, United States Code, § Regulations, § 1.56(a) which occurred between application:	ion is not disclosed : S 112. I acknowledg	in the prior United St e the duty to disclose	ates application i	n the manner	provided b	y the first	: of Federal
10/439,635		May 16, 2003			Pending	·	
Application Serial No.		Filing Date		ented, Pend	ited, Pending, Abandoned		
I hereby claim the benefit under Title 35, U	nited States Code, §	119(e) of any United	States provision	al application	n(s) listed b	elow:	
60/423,009 Application Serial No.		November 1, 2002 Filing Date	2				
Application Serial No.		rning Date				م الم	76
60/442,383 Application Serial No.		January 24, 2003					
	•	Filing Date					L
60/455,729 Application Serial No.	-	March 17, 2003 Filing Date					
							
I hereby appoint the following as my attornous and Trademark Office in connection therew	eys with full power ith:	of substitution to pro	secute this applic	ation and trai	nsact all bu	siness in t	he Patent
Thomas B. Haverstock Suvashis Bhattacharya	32,571 46,554	Jonathan O. Ower	as 37	7,902			
Please direct all correspondence regarding t	his application to th	e following:					
Thomas B. Haverstoc HAVERSTOCK & O 162 North Wolfe Roa Sunnyvale, California Customer Number 2	WENS LLP d 1 94086						
I hereby declare that all statements made he believed to be true; and further that these stapunishable by fine or imprisonment or both, jeopardize the validity of the application or	atements were made , under Section 100	: with the knowledge I of Title 18 of the U	that willful false	statements ar	nd the like s	so made a	re
Full Name of First Joint Inventor: Thomas	W. Kenny						
Inventor's Signature:	_4				1013	\$/0}	
Residence: 132 Devonshire Boulevard, Sar Citizenship: United States Post Office Address: 132 Devonshire Boule		A 04070			D	ate	
Full Name of Second Joint Inventor: Mark		11.77070			- ·		
ish realic of Second Joint Inventor. Widik	A S	1 .					
Inventor's Signature:	ex Pl	end			10/3	2/03	
Residence: 12758 Leander Drive, Los Alto Citizenship: United States	s, CA 94022					ate	
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PATENT Attorney Docket No.: COOL-01301

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Inventor's Signature:	Date
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The state of the s	
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